REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Hughawa Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

	02-4302, and to the Office of Management and		
AGENCY USE ONLY (Leave blank) 2. REPORT DATE 3. REPORT TYPE AND DATES COVERED Final Report			
4. TITLE AND SUBTITLE	j 0/01/94 - 3/31/9/		5. FUNDING NUMBERS
	gies Applied to Cataly		GN00014-94-1-0628
6. AUTHOR(S)			
Jonathan A. Ellman			
7. PERFORMING ORGANIZATION N	NAME(S) AND ADDRESS(ES)		8. PERFORMING ORGANIZATION REPORT NUMBER
Department of Chemis	try		REPORT ROMBER
University of Califo Berkeley, CA 94720-			
9. SPONSORING/MONITORING AC	SENCY NAME(S) AND ADDRESS(ES)	10. SPONSORING / MONITORING
Office of Naval Research			AGENCY REPORT NUMBER
800 North Quincy Street			
Arlington, VA 22217	-5660		
11. SUPPLEMENTARY NOTES			
12a. DISTRIBUTION / AVAILABILITY			12b. DISTRIBUTION CODE
Distribution Unlim	ited		
A A DOTTO A CT (A4			
13. ABSTRACT (Maximum 200 words) The performed research demonstrates that important ligand classes can be			
synthesized on solid supports and can be used directly without purification to			
prepare asymmetric catalysts. For the catalyst system that was evaluated, the			
ligands prepared in parallel on support provided comparable enantioselectivities			
to purified ligands prepared individually over several steps in solution.			
Enantioselectivities greater than 90% ee were observed. These results hold promise for parallel synthesis and evaluation approaches in asymmetric catalyst optimization.			
for pararrer synthesis and evaluation approaches in asymmetric catalyst optimization			
A sulfinamide chiral auxiliary has also been developed. Auxiliary loading,			
diastereoselective transformations, and auxiliary removal all proceed in high			
yields. Auxiliary removal is accomplished by first activating the auxiliary			
linkage followed by nucleophilic release. Modification of the sulfinamide auxiliary as a support-bound linkage will allow the stereodefined synthesis of			
libraries of chiral compounds.			
DTIC QUALITY INSPECTED &			
14. SUBJECT TERMS			15. NUMBER OF PAGES
combinatorial, catalyst, auxiliary, solid-phase			BATTA AND DESCRIPTION OF THE SAME PROPERTY OF THE SAME AND DESCRIPTION OF THE SAME AND
	- -		16. PRICE CODE
17. SECURITY CLASSIFICATION	18. SECURITY CLASSIFICATION	19. SECURITY CLASSIFIC	ATION 20. LIMITATION OF ABSTRACT
OF REPORT	OF THIS PAGE	OF ABSTRACT	
Ü	U	U	UL

GENERAL INSTRUCTIONS FOR COMPLETING SF 298

The Report Documentation Page (RDP) is used in announcing and cataloging reports. It is important that this information be consistent with the rest of the report, particularly the cover and title page. Instructions for filling in each block of the form follow. It is important to *stay within the lines* to meet *optical scanning requirements*.

- Block 1. Agency Use Only (Leave blank).
- Block 2. Report Date. Full publication date including day, month, and year, if available (e.g. 1 Jan 88). Must cite at least the year.
- Block 3. Type of Report and Dates Covered. State whether report is interim, final, etc. If applicable, enter inclusive report dates (e.g. 10 Jun 87 30 Jun 88).
- Block 4. <u>Title and Subtitle</u>. A title is taken from the part of the report that provides the most meaningful and complete information. When a report is prepared in more than one volume, repeat the primary title, add volume number, and include subtitle for the specific volume. On classified documents enter the title classification in parentheses.
- Block 5. Funding Numbers. To include contract and grant numbers; may include program element number(s), project number(s), task number(s), and work unit number(s). Use the following labels:

C - Contract PR - Project
G - Grant TA - Task
PF - Program WU - Work Ur

PE - Program WU - Work Unit Element Accession No.

- **Block 6.** <u>Author(s)</u>. Name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. If editor or compiler, this should follow the name(s).
- Block 7. <u>Performing Organization Name(s) and Address(es)</u>. Self-explanatory.
- Block 8. <u>Performing Organization Report</u>
 <u>Number</u>. Enter the unique alphanumeric report
 number(s) assigned by the organization
 performing the report.
- Block 9. <u>Sponsoring/Monitoring Agency Name(s)</u> and Address(es). Self-explanatory.
- **Block 10.** Sponsoring/Monitoring Agency Report Number. (If known)
- Block 11. Supplementary Notes. Enter information not included elsewhere such as: Prepared in cooperation with...; Trans. of...; To be published in.... When a report is revised, include a statement whether the new report supersedes or supplements the older report.

Block 12a. <u>Distribution/Availability Statement</u>. Denotes public availability or limitations. Cite any availability to the public. Enter additional limitations or special markings in all capitals (e.g. NOFORN, REL, ITAR).

DOD - See DoDD 5230.24, "Distribution Statements on Technical Documents."

DOE - See authorities.

NASA - See Handbook NHB 2200.2.

NTIS - Leave blank.

Block 12b. <u>Distribution Code</u>.

DOD - Leave blank.

DOE - Enter DOE distribution categories from the Standard Distribution for Unclassified Scientific and Technical Reports.

NASA - Leave blank. NTIS - Leave blank.

- **Block 13.** Abstract. Include a brief (*Maximum 200 words*) factual summary of the most significant information contained in the report.
- **Block 14.** Subject Terms. Keywords or phrases identifying major subjects in the report.
- **Block 15.** <u>Number of Pages</u>. Enter the total number of pages.
- **Block 16.** Price Code. Enter appropriate price code (NTIS only).
- Blocks 17. 19. Security Classifications. Self-explanatory. Enter U.S. Security Classification in accordance with U.S. Security Regulations (i.e., UNCLASSIFIED). If form contains classified information, stamp classification on the top and bottom of the page.
- Block 20. <u>Limitation of Abstract</u>. This block must be completed to assign a limitation to the abstract. Enter either UL (unlimited) or SAR (same as report). An entry in this block is necessary if the abstract is to be limited. If blank, the abstract is assumed to be unlimited.

FINAL REPORT

Grant#: N00014-94-1-0628

PRINCIPLE INVESTIGATOR: Jonathan A. Ellman

INSTITUTION: University of California at Berkeley

GRANT TITLE: Combinatorial Strategies Applied to Catalyst Development

AWARD PERIOD: 1 June 1994 - 31 May 1997

OBJECTIVE: The funded research had two objectives. (1) To apply simultaneous synthesis and then simultaneous screening strategies to develop metal-based catalysts. (2) To develop a support-bound "safety-catch" chiral auxiliary. This solid support linker would be of considerable utility for the stereodefined synthesis of libraries of chiral compounds. Kenner has defined a safety-catch linker as a support linkage that is stable under most reaction conditions but that can be activated under a specific set of conditions, in analogy to releasing the safety on a gun, to allow the nucleophilic release of the final product from the solid support.

APPROACH: Objective (1): Multiple ligands are prepared simultaneously, in parallel on solid support. Appropriate metals are then introduced, and the resulting metal complexes are evaluated for their ability to catalyze different reactions. Objective (2): The safety-catch chiral auxiliary for solid-phase synthesis is loosely based upon previous safety-catch linkers that were developed in our group and that are currently sold by three different resin supply companies (see Backes, B. J.; Virgilio, A. A.; and Ellman, J. A. Am. Chem. Soc., 1996, 114, 3055-3057 and references therein). Chiral auxiliary evaluation is first being performed in solution where modifications of the auxiliary can be introduced most rapidly. The next step is to develop the support-bound variant.

ACCOMPLISHMENTS:

OBJECTIVE (1)

Towards objective (1) we have accomplished two goals. (1) We have developed general and high yielding solid-phase synthesis methods to construct pyrrolidinylmethanol ligands. Members of this ligand class are employed extensively in asymmetric dialkylzinc additions to aldehydes and in the asymmetric reduction of ketones. In addition, ligands of related structures have been employed in asymmetric aldol and Diels Alder reactions. (2) We have demonstrated that a range of ligands of this class prepared by the above solid-phase methods can be evaluated directly in asymmetric transformations without purification. These two results demonstrate that library synthesis and evaluation is feasible.

The pyrrolidinylmethanol ligand synthesis sequence is outlined in Scheme 1. The ethyl carbamate of 4-hydroxyproline methyl ester is coupled to a

solid-support using a tetrahydropyranyl linker to provide support-bound derivative 1. Excess Grignard reagent is then added to provide support-bound tertiary alcohol 2. A number of different Grignard reagents add in high yield including both aliphatic and aromatic Grignard reagents. Direct reduction of alcohol 2 provides N-methyl ligand 3. Alternatively, the ethyl carbamate can be removed by treatment of 2 with potassium hydroxide in 2:1 dioxane/butanol. Subsequent acylation with an acid chloride followed by reduction with Vitride then provides the support-bound ligand 4.

The support-bound ligands can be evaluated directly in asymmetric transformations, or they can be cleaved from the support with pyridinium p-toluenesulfonate followed by evaluation of free ligands, 5 or 6, in solution. In practice we have found that catalyst evaluation is most reliable when the ligands are first removed from the solid-support due to a deleterious support effect that we have at this point not been able to overcome. A number of ligands have been prepared in this fashion and have been evaluated in asymmetric dialkylzinc additions to both benzaldehyde and isovaleraldehyde. Enantioselectivities greater than 90%ee were observed for some of the prepared ligands. The unpurified ligands that were prepared on solid-support provide the same addition enantioselectivity that is provided by purified ligands. In addition, the auxiliary 4-hydroxyl group does not appear to have any effect upon the level of asymmetric induction.

OBJECTIVE (2)

We previously demonstrated that chiral t-butylsulfinamides serve as efficient chiral auxiliaries for diastereoselective enolate alkylation reactions (see Scheme 2).

We have further demonstrated that the alkylation products can be cleanly activated by N-alkylation and oxidation. Addition of a variety of nucleophiles then provides access to diverse products as is shown in Scheme 3. All of the transformations proceed in high overall yields.

CONCLUSIONS:

OBJECTIVE (1):

The performed research demonstrates that important ligand classes can be synthesized on solid supports and can be used directly without purification to prepare asymmetric catalysts. For the catalyst system that was evaluated, the ligands prepared in parallel on support provided comparable enantioselectivies to purified ligands prepared individually over several steps in solution. These results hold promise for parallel synthesis and evaluation approaches in asymmetric catalyst optimization.

OBJECTIVE (2):

A sulfinamide chiral auxiliary has been developed in solution. Auxiliary loading, diastereoselective transformations, and auxiliary removal all proceed in high yields. Auxiliary removal is accomplished by first activating the auxiliary linkage followed by nucleophilic release. Modification of the sulfinamide auxiliary as a support-bound linkage will allow the stereodefined synthesis of libraries of chiral compounds.

SIGNIFICANCE:

OBJECTIVE (1):

Even though considerable design is employed in the development of chiral ligands and in the choice of metals for the development of catalysts for asymmetric transformations, catalyst optimization still generally requires the evaluation of numerous ligands and metals, as well as reaction conditions. For many asymmetric transformations, the catalyst and/or reaction conditions must be optimized for each substrate or reagent class thereby greatly increasing the number of experiments that must be performed. We have demonstrated that the simultaneous synthesis of the pyrrolidinylmethanol ligand class can be performed on solid-support, and that the resulting ligands are of sufficient purity for reliable evaluation as catalysts without purification. These results demonstrate that combinatorial strategies can be applied to greatly expedite the development of asymmetric catalysts.

Objective (2):

The synthesis and evaluation of compound libraries to identify a useful compound structure for a particular application is increasingly being used for the development of new drugs, catalysts, and materials. While general methods to prepare libraries displaying diverse functionality are available, better methods to control the spatial display, or stereochemistry, of functionality is needed. Chirality can often be as important as the type of functionality that is displayed in determining the properties of a compound. A support-bound, safety-catch chiral auxiliary will greatly expedite the synthesis of libraries of chiral compounds. The support-bound auxiliary should not only provide good diastereofacial selectivity, but also a robust support linkage to allow as wide an array of chemistry to be performed as possible. A method for activation of the support linkage for nucleophilic release of the compound into solution should then be possible at the end of the solidphase synthesis sequence. This process provides access to pure products with a final element of diversity being introduced in the release step.

PATENT INFORMATION: None

AWARDS: Joel H. Hildebrand Chair in Chemistry (for Associate Prof.)
Alfred P. Sloan Fellowship

PUBLICATIONS:

- (1) Liu, G.; Ellman, J. A. "Combinatorial Asymmetric Catalyst Development. General Solid-Phase Synthesis Strategy for the Preparation of 2-Pyrrolidinemethanol Ligands" *J. Org. Chem.* **60**, 7712-7713 (1995).
- (2) Backes, B. J. and Ellman, J. A. "t-Butylsulfinamide as a Safety-Catch Chiral Auxiliary for Enolate Alkylations", manuscript in preparation.